

04-02-01

PTO/SB/05 (08/00)

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **050321-1920**  
 First Inventor **Magoon, et al.**  
 Title **Phase Adjustable Polyphase Filter**  
 Express Mail Label No. **EL492178688US**

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

## ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231
☐ APPLICANT REQUESTS EARLY PUBLICATION UNDER 37 CFR 1.219 (additional fee)
 1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
 3. ☒ Specification (preferred arrangement set forth below) [Total Pages **20**]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

 4. ☒ Drawing(s) (35 USC 113) [Total Sheets **7**]

 5. Oath or Declaration [Total Pages ☐]

 a. ☒ [UNSIGNED] (original or copy)

 b. ☐ Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 17 completed)

 i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application,  
 see 37 CFR 1.63(d)(2) and 1.33(b)

 6. ☐ Application Data Sheet See 37 CFR 1.76

 Assignee  
 Name and Address  
 (if applicable)

**Conexant Systems, Inc.**  
**4311 Jamboree Road**  
**Newport Beach, CA 92660-3095**

 7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
 Program (Appendix)

 8. Nucleotide and/or Amino Acid Sequence Submission  
 (if applicable, all necessary)

 a. ☐ Computer Readable Copy (CRF)

b. Specification Sequence Listing on

 i. ☐ CD-ROM or CD-R (2 copies); or

 ii. ☐ Paper

 c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

 9. ☐ Assignment Papers (cover sheet & Documents(s))

 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

 11. ☐ English Translation Document (if applicable)

 12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

 13. ☐ Preliminary Amendment

 14. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)

 15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)

 16. ☒ Request and Certification under 35 U.S.C.  
 122(b)(2)(B)(i). Applicant must attach form  
 PTO/SB/35 or its equivalent

 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)of prior application No: Prior application information: Examiner Group / Art Unit: 

For CONTINUATION OR DIVISIONAL APPS only the entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

## 18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

**24504**

(Insert Customer No. or Attach bar code label here)



Correspondence address below

 NAME **Scott A. Horstemeyer**  
**Thomas, Kayden, Horstemeyer & Risley, L.L.P.**

 ADDRESS **100 Galleria Parkway**  
**Suite 1750**

 CITY **Atlanta** STATE **Georgia** ZIP CODE **30339-5948**

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 Name (Print/Type) **Scott A. Horstemeyer** Registration No. (Attorney/Agent) **34,183**

 Signature *Scott A. Horstemeyer* Date **03/30/01**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

03/30/01

11052 U.S. PTO

11046 U.S. PTO  
09/823299

03/30/01

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Magoon, et al.
Title	Phase Adjustable Polyphase Filter
Attorney Docket No.	050321-1920

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

03/30/01  
Date

  
Signature

Scott A. Horstemeyer

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Magoon, *et al.*

Examiner: To Be Assigned

Serial No.: To Be Assigned

Group No.: To Be Assigned

Filing Date: Even Date Herewith

For: **Phase Adjustable Polyphase Filter**

**CERTIFICATE OF EXPRESS MAIL**

Assistant Commissioner for Patents  
BOX: Patent Application  
Washington, D.C. 20231

Sir:

Enclosed for filing in the above case are the following documents:

Utility Patent Application consisting of:

11 Pages Specification

8 Pages of Claims

1 Page of Abstract

7 Pages of Informal Drawings

Patent Application Transmittal

Declaration of Patent Application **[Unsigned]** (2 Pages)

Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i)

Return Postcard.

Respectfully submitted,



Scott A. Horstemeyer, Reg. No. 34,183

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

100 Galleria Parkway, N.W.

Suite 1750

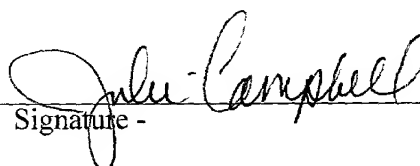
Atlanta, Georgia 30339-5948

Our Docket No: **50321-1920**  
**99RSS406**

I hereby certify that all correspondences listed above are being deposited for delivery to the above addressee, with the United States Postal Service **"EXPRESS MAIL POST OFFICE TO ADDRESSEE"** service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To Addressee" Package # **EL492178688US**.

Date: March 30, 2001

  
Signature -